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DT03 Rec'd PCT/PTO 12 OCT 2004

FORM PTO-1390 (REV. 8-93) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		CASE NO. 10808/170 U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/511189
INTERNATIONAL APPLICATION NO. PCT/DE03/01304	INTERNATIONAL FILING DATE 17 April 2003	PRIORITY DATE CLAIMED 19 April 2002

TITLE OF INVENTION
SEMICONDUCTOR COMPONENT WITH INTEGRATED CAPACITANCE STRUCTURE HAVING A PLURALITY OF METALLIZATION PLANES

APPLICANT(S) FOR DO/EO/US
Hichem ABDALLAH and Jürgen ÖHM

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9), and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
   a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
   b. ☒ has been transmitted by the International Bureau.
   c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English translation of the International Application into English (35 U.S.C. 371(c)(2)).
   a. ☒ is attached hereto (Attached as Tab 1).
   b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
   a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
   b. ☐ have been transmitted by the International Bureau.
   c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
   d. ☐ have not been made and will not be made.
8. ☐ An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (Unexecuted, Attached as Tab 2).
10. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)) and/or amendments under Article 34.

Items 11 to 20 Below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 (Attached as Tab 3).
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13*ter*.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

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U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/511189	INTERNATIONAL APPLICATION NO. PCT/DE03/01304	CASE NO. **Error! Reference source not found.**

21. ☒ The following fees are submitted:	CALCULATIONS	PTO USE ONLY
Basic National Fee (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO $1110.00		
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO $950.00		
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO. $790.00		
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) $750.00		
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)..... $100.00		
ENTER APPROPRIATE BASIC FEE AMOUNT	$950	
Surcharge of $130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		

Claims	Number Filed	Number Extra	Rate		
Total Claims	35- 20 =	15	x $ 18.00	$270	
Independent Claims	2- 3 =	0	x $ 88.00	$0	
Multiple dependent claim(s) if Applicable)		0	+ $300.00		
			TOTAL OF ABOVE CALCUATIONS =	$1220	
☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.					
			SUBTOTAL =	$1220	
Processing fee of $130.00 for furnishing the English translation later than the ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				$	
			TOTAL NATIONAL FEE=	$1220	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31), $40.00 per property +					
			TOTAL FEES ENCLOSED=	$1220	
				Amount to be refunded	$
				charged	$

a. ☒ A check in the amount of $1220 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 23-1925 in the amount of $ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send All Correspondence to:
Brinks Hofer Gilson & Lione
P.O. Box 10395
Chicago, IL 60610

00757
PATENT TRADEMARK OFFICE

Scott W. Brim
Signature
Scott W. Brim
Name
51,500
Registration Number